

Usage Guideline

Corporate Action Instruction Cancellation Request Extension supl.032.001.02

DTCC - US - CA - EDS Instruction Processing - SR 2022

This document describes a usage guideline restricting the base message **MX supl.032.001.02**. You can also consult [this information online](#).

Published by DTCC - Corporate Action Transformation and generated by [MyStandards](#).

22 February 2022

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Message Functionality

Overview

The DTCCCAICSD1 message extends ISO corporate action Instruction Cancellation Request (CAIC) message with DTCC corporate action elements not covered in the standard message.







Outline

The DTCC - US - CA - EDS Instruction Processing - SR 2022 / Corporate Action Instruction Cancellation Request Extension supl.032.001.02 message is composed of 1 building blocks

a. ReorganisationInstructionCancellationDetails

Supplementary data extending corporate action instruction cancellation request message with corporate action reorganisation elements not covered in the standard message.

1 Structure

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DTCCCAICSD1V02	<DTCCCAICSD1>		[1..1]		
	ReorganisationInstructionCancellation-Details	<ReorgInstrCxlDtls>		[0..1]		5
	PlaceAndName	<PlcAndNm>		[0..1]		7
	WithdrawalTransactionType	<WdrwlTxTp>		[0..1]		7
	TransactionIdentification	<TxId>		[0..1]		7
	TransactionSequenceNumber	<TxSeqNb>		[0..1]		7
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		7
	ContactPerson	<CtctPrsn>		[0..1]		8
	UserReferenceNumber	<UsrRefNb>		[0..1]		8
	ProtectSafekeepingAccount	<PrctctSfkpgAcct>		[0..1]		8

2 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - EDS Instruction Processing - SR 2022 / Corporate Action Instruction Cancellation Request Extension suppl.032.001.02 message definition.







2.1 ReorganisationInstructionCancellationDetails

XML Tag: ReorgInstrCxlDtls

Presence: [0..1]

Definition: Supplementary data extending corporate action instruction cancellation request message with corporate action reorganisation elements not covered in the standard message.

The **ReorgInstrCxlDtls** block contains the following elements (see datatype "ReorganisationInstructionSD7" on page 6 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		7
	WithdrawalTransactionType	<WdrwlTxTp>		[0..1]		7
	TransactionIdentification	<TxId>		[0..1]		7
	TransactionSequenceNumber	<TxSeqNb>		[0..1]		7
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		7
	ContactPerson	<CtctPrsn>		[0..1]		8
	UserReferenceNumber	<UsrRefNb>		[0..1]		8
	ProtectSafekeepingAccount	<PrtctSfkgpgAcct>		[0..1]		8

3 Message Components

Note The following chapter identifies the message components.

3.1 ContactIdentification5

Definition: Information needed to contact a physical person.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		6
	PhoneNumber	<PhneNb>		[1..1]		6

Used in element(s)

"ContactPerson" on page 8

3.1.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 9

3.1.2 PhoneNumber

XML Tag: PhneNb







Presence: [1..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 10

3.2 ReorganisationInstructionSD7

Definition: Provides additional information regarding corporate action reorganisation instruction details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		7
	WithdrawalTransactionType	<WdrwlTxTp>		[0..1]		7
	TransactionIdentification	<TxId>		[0..1]		7

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransactionSequenceNumber	<TxSeqNb>		[0..1]		7
	CustomerReferenceIdentification	<CstmrRefId>		[0..1]		7
	ContactPerson	<CtctPrsn>		[0..1]		8
	UserReferenceNumber	<UsrRefNb>		[0..1]		8
	ProtectSafekeepingAccount	<PrctSfkpgAcct>		[0..1]		8

Used in element(s)

"ReorganisationInstructionCancellationDetails" on page 5

3.2.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Xpath to the element that is being extended.

Datatype: "Max350Text" on page 9

3.2.2 WithdrawalTransactionType

XML Tag: WdrwlTxTp

Presence: [0..1]

Definition: Identifies the reorganisation withdrawal transaction type.

Datatype: "ReorganisationWithdrawalTransactionType2Code" on page 11

3.2.3 TransactionIdentification

XML Tag: TxId

Presence: [0..1]

Definition: Unique number assigned by the depository. Transaction identification will be either the DTC instruction reference number for reorganisation instructions (VOI) or the DAM reference number for custody / reorganisation deposits.

Datatype: "Max15Text" on page 9

3.2.4 TransactionSequenceNumber

XML Tag: TxSeqNb

Presence: [0..1]

Definition: Number which further identifies DTC instruction reference number. Not applicable to reorganisation / custody deposits.

Datatype: "Max3NumericText" on page 10

3.2.5 CustomerReferenceIdentification

XML Tag: CstmrRefId

Presence: [0..1]

Definition: Customer identification entered by client upon instruction submission.

Datatype: "Max30Text" on page 9

3.2.6 ContactPerson

XML Tag: CtctPrsn

Presence: [0..1]

Definition: Party contact information for the given instruction; required for voluntary offer instruction transaction (VOIT), protect transaction (PROT) and protect on behalf of another participant transaction (PROP); not required for cover protect instructions like cover protect transaction (COVR), cover protect directly to agent transaction (COVA) and cover protect on behalf of another participant transaction (COVP).

The **CtctPrsn** block contains the following elements (see datatype "[ContactIdentification5](#)" on page 6 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		6
	PhoneNumber	<PhneNb>		[1..1]		6

3.2.7 UserReferenceNumber

XML Tag: UsrRefNb

Presence: [0..1]

Definition: Unique identification of the transaction used by the transmitting party.

Datatype: "Max6Text" on page 10

3.2.8 ProtectSafekeepingAccount

XML Tag: PrtctSfkpgAcct

Presence: [0..1]

Definition: For cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account. The protect safekeeping account will be the account which submitted the original protect instruction.

Datatype: "RestrictedFINMax35Text" on page 11

4 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

4.1 Max15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

maxLength	15
minLength	1

Used in element(s)

"TransactionIdentification" on page 7

4.2 Max30Text

Definition: Specifies a character string with a maximum length of 30 characters.

Type: Text

Format

maxLength	30
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Used in element(s)

"CustomerReferenceIdentification" on page 7

4.3 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"PlaceAndName" on page 7

4.4 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"Name" on page 6

4.5 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
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Used in element(s)

"TransactionSequenceNumber" on page 7

4.6 Max6Text

Definition: Specifies a character string with a maximum length of 6 characters.

Type: Text

Format

maxLength	6
minLength	1

Used in element(s)

"UserReferenceNumber" on page 8

4.7 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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Used in element(s)

["PhoneNumber" on page 6](#)

4.8 ReorganisationWithdrawalTransactionType2Code

Definition: Specifies the type of withdrawal reorganisation transaction.

Type: CodeSet

Code	Name	Definition
PRPW	WithdrawalProtectOnBehalfOfAnother-Participant	The reorganisation instruction cancellation is a withdrawal protect transaction on behalf of another participant.

Used in element(s)

["WithdrawalTransactionType" on page 7](#)

Restricts

["ReorganisationWithdrawalTransactionTypeCode" on page 11](#)

4.9 ReorganisationWithdrawalTransactionTypeCode

Definition: Specifies the type of withdrawal reorganisation transaction.

Type: CodeSet

Code	Name	Definition
PROW	ProtectWithdrawalTransaction	The reorganisation instruction cancellation is a protect withdrawal transaction.
PRPW	WithdrawalProtectOnBehalfOfAnother-Participant	The reorganisation instruction cancellation is a withdrawal protect transaction on behalf of another participant.
VOIW	VoluntaryOfferInstructionWithdrawal	The reorganisation instruction cancellation is a voluntary offer instruction withdrawal transaction.

Is restricted by

["ReorganisationWithdrawalTransactionType2Code" on page 11](#)

4.10 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `(([^/]+)/+)([^\/*]*)` that disables the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	35
minLength	1

pattern ([^/]+)([^/]+)([^\s]*)

Used in element(s)

"ProtectSafekeepingAccount" on page 8

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